

## Checklist of routine annual and other activities to be completed by NASDAQ- listed companies

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PARTNER | US

**CATEGORY:**

ARTICLE

**CLIENT TYPES:**

TECHNOLOGY

CHARITIES AND NON-PROFIT

PHILANTHROPY

TRUSTEES, EXECUTORS AND FIDUCIARIES

PUBLIC COMPANIES

PRIVATE COMPANIES

OWNERS AND ENTREPRENEURS

PHARMACEUTICALS



This memorandum lists typical activities for a NASDAQ-listed company, its Board of Directors and its Audit, Compensation and Nominating/Governance Committees, especially in connection with the Annual Meeting of Stockholders. Please note that, in any particular situation, additional activities may need to be completed, not all of the activities mentioned apply to all situations and each company's activities will depend on its governing documents. A NASDAQ-listed newly public company, emerging growth company, smaller reporting company, foreign private issuer or limited partnership, a NASDAQ-listed company that is emerging from bankruptcy, a "controlled company" within the meaning of NASDAQ rules, a company formed by reverse merger or a special purpose acquisition corporation ( SPAC) may have additional, fewer or different requirements.


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