

Joseph Tagliaferro III

CONSULTANT | LOS ANGELES



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Joe is a consultant in our corporate team.

His practice focuses on corporate finance, private investment fund foundation and compliance, asset management and broker-dealer formation and compliance, and mergers and acquisitions. He has represented a diverse group of clients in a broad array of both domestic and cross border matters in the areas of private equity, venture capital, white collar criminal defense, hedge funds, securities, asset management, broker-dealer formation and compliance, securities regulatory defense and government/regulatory investigations, entertainment and media transactions, family office and outside general counsel representation. Joe also has experience as an interbank foreign currency trader and a FINRA Registered Representative and has expanded his practice to reflect his blockchain technology and digital currency expertise.

Mr. Tagliaferro is an independent contractor of Withers Bergman LLP, and nothing herein shall be construed as creating any other relationship between Mr. Tagliaferro and Withers Bergman LLP or its affiliated entities (the "Firm"), including but not limited to a partnership relationship. Neither Mr. Tagliaferro nor any of his employees or personnel are or shall be deemed to be employees of the Firm. As an independent contractor, Mr. Tagliaferro is not a legal representative, agent, or principal of the Firm, nor does Mr. Tagliaferro have the right or authority to assume, create, or incur any liability or any obligation of any kind, express or implied, against or in the name or on behalf of the Firm, nor does he have any regulatory or contractual responsibility for the management of the Firm's business.

SECRETARY **TAMARA VAZQUEZ**

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ADMISSIONS

- 1999, State of California
- State Bar of California
- United States District Court of the Central District of California

PUBLICATIONS

'SEC proposes significant increase to reporting threshold on Form 13F for institutional investment managers.' Withers article - July 21, 2020, co-author

'Coronavirus checklist for US businesses - Part 2.' Withers Insight- May 4, 2020, co-author

'Coronavirus checklist for US businesses - Part 1.' Withers Insight - April 30, 2020, co-author

'Withersworldwide expands LA outpost.' Daily Journal - February 18, 2020, featured

'Withers scales up in LA with three new senior hires.' Withers Insight- February 18, 2020, featured

EDUCATION

Southwestern University, J.D.
Villanova University, B.S.

LANGUAGES

ENGLISH

Los Angeles

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